SCOTTISH HIGHER LEVEL APPRENTICESHIPS

A

TECHNICAL APPRENTICESHIP

IN

Accounting

AT

SCQF 8

FRAMEWORK DOCUMENT FOR SCOTLAND

Skills for Justice

April 2018
# Contents

Technical and Professional Apprenticeships in Scotland 3
Technical and Professional Apprenticeships in Accounting SCQF L8 4
Summary of Framework 5
The Framework 6
Registration and certification 7
Recruitment and selection 8
Equal opportunities 8
Health and safety 9
Contracts 9
Employment status of Technical and Professional Apprentices 9
Terms and conditions of employment 9
Training and development 10
Consultation 13
Career progression 14

# Appendices

Appendix 1  Stakeholder Responsibilities 15
Appendix 2  Modern Apprenticeship Centres (MACs) 18
Appendix 3  Training Agreement and Training Plan 19
Appendix 4  Career Skills Units for Technical and Professional Apprenticeships 23
Technical and Professional Apprenticeships in Scotland

What are Technical and Professional Apprenticeships?

Technical and Professional Apprenticeships offer those aged over 16 paid employment combined with the opportunity to train for jobs at senior supervisory and management level.

Who develops them?

Technical and Professional Apprenticeships are developed by Sector Skills Councils (SSCs). SSCs consult with employers and key partners in their sector to produce a training programme, which meets the needs of employers.

Who are they for?

Technical and Professional Apprenticeships are available to employees aged 16 or over. Employees need to demonstrate to their employer that they have the potential to complete the programme. All Technical and Professional Apprentices must have a demonstrable need to acquire significant new knowledge and skills to fulfil their job role. The Apprenticeship framework selected for the employee must be the most appropriate learning programme generally available to that individual, providing such knowledge and skills.

What’s in a Technical and Professional Apprenticeship?

In Scotland, there are more than 70 different Apprenticeship Frameworks and they are all designed to deliver a training package around a minimum standard of competence defined by employers through SSCs. There are four different levels of Apprenticeship in Scotland: Modern Apprenticeships at SCQF 5 (SVQ 2) and SCQF 6/7 (SVQ 3), Technical Apprenticeships at SCQF 8/9 (SVQ 4) and Professional Apprenticeships at SCQF 10 (SVQ 5). They all contain the same 3 basic criteria:

- A relevant SVQ (or alternative competency based qualification), HN Qualification, Professional Qualification or other Qualification based on current National Occupational Standards at SCQF level 8 and above
- Career Skills (known as core skills for Frameworks at SCQF Levels 5, 6 and 7)
- Industry specific training

Details of the content of this specific Technical/ Professional Apprenticeship are given in the next section.
Technical Apprenticeship in Accounting

The Finance and Business Services was identified in Scotland’s Economic Strategy as one of the growth sectors in Scotland in which Scotland can build on existing comparative advance and increase productivity and growth.

In Scotland, employment within the Financial and Business Services growth sector stood at 217,000 in 2015 representing a 3.7% decrease from 2014 (approx 8,400 jobs down). Within Scotland, the sector accounts for 8.6% of employment whilst across the UK, the sector accounts for 10.5% of total employment.

Within the Financial and Business Services Growth Sector, 20.6% (44,700) of jobs are in Monetary Intermediation, which relate to the activities of banks and building societies. Activities such as accounting, book keeping, auditing activities; tax consultancy represent another 12.3% (26,700 jobs), 12.7% (27,000) and 10.1% (21,900) of jobs in this growth sector respectively.

Accountancy and finance can refer to a function within an organisation, a profession or a distinct services sector. Thus for the purpose of this framework the following conventions will be observed:

- Organisations offering professional accounting and finance related services will be collectively referred to as ‘accountancy firms’.
- The accounting and finance function within an organisation in any sector (private, public or not-for-profit) will be referred to as ‘the finance function’. Specific reference to either the private, public or the not-for-profit sector will be made only when the need for such distinction arises. The finance function of businesses outside accountancy firms will be designated as finance ‘in industry and commerce’.
- Persons employed in either of the above will be referred to as ‘finance practitioners’.

The bulk of the workforce in accountancy firms and finance functions is made up of senior professionals, including qualified accountants, members of the UK’s highly established and respected professional accountancy bodies. Almost all of the rest of the workforce is made up of administrative roles such as book-keepers, accounts and payroll clerks, who form the backbone of the finance function.

Accountancy and finance is a diverse sector. Providers of accountancy services are mostly outward-looking businesses emphasising the role of income generators, while the finance function, which accounts for just over three quarters of the sector’s workforce, generally operates as a cost centre, with the administrative functions of financial and business services industries, retail and the public sector dominating employment.

In keeping with trends across industries, small and medium-sized businesses (SMEs) and small and medium-sized practices (SMPs) account for the majority of employment in the sector, 62% in accountancy and 64% in finance. Crucially, this includes a large number of self-employed professionals – especially among accountancy practices.

The share of finance staff is highly concentrated in a few industries in Scotland. The accountancy sector and public administration, defence and education together employ 36% of all finance staff (approximately 18%). Other industries employing a significant share of finance staff are construction, wholesale and retail (14%) and financial services (8.5%).
Summary of Framework

Diagram showing the contents of the Technical Apprenticeship in Accounting SCQF Level 8

Duration

The framework should take approximately 12 months to complete.

Mandatory outcomes

**SVQ/ CBQ/ HN Qualification/ Professional Qualification**

- One of the following must be achieved:
  
  - AAT SCQF Level 8 Professional Diploma in Accounting – R48604
  
  - ACCA SCQF Level 8 Diploma in Accounting and Business – R36104

**Career Skills (see Appendix 4 for full list)**

Employer and individual to select the appropriate Career Skills units within the agreed thresholds. These should be selected and agreed by the learner and training provider.

**Enhancements**

None

Optional Outcomes

**Additional SVQ Units/Qualifications/Training**

None

**NOTES:**

The SSC should include a relevant SVQ/CBQ/ HN, Professional qualification or other qualification based on NOS

Please remove any boxes that are not required.

**Mandatory Component**

All Scottish Technical and Professional Apprenticeship Frameworks must contain a relevant SVQ, equivalent CBQ, Higher National, Professional Qualification or other qualification based on NOS.

**Career Skills**

All Scottish Technical and Professional Frameworks must contain Career Skills. The Career Skills units selected should NOT be the same as any of the mandatory components.

Two to five units should be selected from any of the categories, to meet the following thresholds:

- Technical Apprenticeships must include Career Skills Units at SCQF 7 or above and achieve a minimum threshold of 15 Credits

- Professional Apprenticeships must include Career Skills Units at SCQF 8 or above and achieve a minimum threshold of 20 credits.

**Enhancements**

The Scottish Government is keen to see language qualifications included in all Frameworks and SSCs are encouraged to include these where appropriate.

If no ‘enhancements’ are required, remove this box.

All sectors should encourage the achievement of additional awards, qualifications and training.
The Framework

The mandatory and optional content of the Professional Apprenticeship in Accounting is as follows:

Duration

It is expected that apprentices following this framework will take approximately 12 months to complete. Off the job training is included within this time frame.

Mandatory Outcomes

SVQ(s)/ CBQs/ Other Qualifications

Each apprentice is required to achieve the following Qualification:

* AAT SCQF Level 8 Professional Diploma in Accounting – R48604
* ACCA SCQF Level 8 Diploma in Accounting and Business – R36104

All Scottish Technical and Professional Apprenticeships must contain a relevant SVQ, equivalent competency based qualifications, HN qualification, Professional qualification or other qualification based on NOS.

Scottish Vocational Qualifications (SVQs) and Competency Based Qualifications (CBQs) are work-based qualifications based on National Occupational Standards of competence drawn up by representatives from each industry sector. They are made up of units – normally between six and ten – which break a job down into separate functions reflecting the different kinds of activities of a job. SVQs and CBQs are available at a range of levels – although most are at SCQF Levels 5, 6 and 7 (SVQ Level 2 and 3). When someone has achieved an SVQ or CBQ, there is a guarantee that they have the skills and knowledge needed to do their job.

Career Skills

Career Skills for Technical and Professional Apprenticeships were developed in response to employer demand that “core skills” at a higher level must reflect the work-based requirements of jobs within the workplace. A ‘pick and mix’ approach has been introduced to ensure that candidates gain the right mix of Career Skills (see Appendix 4).

A wide range of pan-sector SVQ units at SCQF 7 and above has been identified and these have been listed in Appendix 4 of the Guidance document). Candidates and employers should select between two and five of the units from the Career Skills list (from any section), within the following parameters:

- A threshold of 15 SCQF credits for Technical Apprenticeships and 20 SCQF credits for Professional Apprenticeships must be achieved
- Technical Apprenticeships must include Career Skills Units at SCQF 7 or above and Professional Apprenticeships must include Career Skills Units at SCQF 8 or above.

Note: The Career Skills units selected should NOT be the same as any of the units in the mandatory qualification.

Enhancements

None

Optional Outcomes

None
Registration and certification

This Scottish Technical/ Professional Apprenticeship is managed by (Name of SSC). The SSC is the first point of contact in Scotland for any enquiries in relation to the Framework. Contact details:

Skills for Justice
1st Floor, Unit C Meadow Court Business Park, 4 Hayland Street, Sheffield, S9 1BY.
Tel: 0114 284 1930
Email: Certification@sfjuk.com

The SSC will register all Scottish Technical and Professional Apprentices undertaking this Framework. All Apprentices must be registered with the SSC within 4 weeks of starting their apprenticeship.

In the case of Technical and Professional Apprenticeships which receive funding, it is acceptable for the Skills Development Scotland Training Plan to be used on the condition that it includes all relevant information as set out in the Training Plan.

The SSC will issue an Apprenticeship Certificate of Completion to those Technical and Professional Apprentices who have completed the mandatory outcomes of the Framework. Before a certificate is issued, training providers must submit evidence to the SSC that the mandatory outcomes have been achieved. This will normally be in the form of photocopies of certificates from awarding bodies.

Requests for registration and certification should be made to the SSC at the address above.

SSC Service level

The SSC undertakes to confirm the registration of candidates in writing within 4 weeks of receipt of the relevant Training Plan and Training Agreement. Each candidate will be issued with a unique registration number.

The SSC also undertakes to issue Certificates of Completion within 4 weeks of receipt of the appropriate evidence that a candidate has completed the outcomes as stated in the Training Plan.
Recruitment and selection

The recruitment and selection of Technical and Professional Apprentices is primarily the responsibility of the employer. However, the following guidance is given:

- Employees may enter a Technical and Professional Apprenticeship from the age of 16. There is no upper age limit.

- The Technical and Professional Apprenticeship is designed to attract high quality people to the industry. Achievement of academic qualifications is one way of assessing the suitability of applicants. However it should be stressed that no persons should be deterred from applying for a Technical and Professional Apprenticeship because of a lack of formal educational qualifications. As well as traditional qualifications such as Standard Grades and Highers, employers should also be aware of newer vocational qualifications or vocational activity undertaken outwith an academic institution, such as volunteering activity.

- The following factors may also influence the selection process:
  - performance during a formal interview process
  - references
  - relevant work experience
  - trial observation period.

- Employers should be aware of the nature, relevance and quality of foreign qualifications and make appropriate allowances concerning entry requirements.

- In order to promote and maintain the high status of the Apprenticeship Programme within the industry all literature distributed for recruitment purposes should emphasise the high standards of achievement expected of the candidate.

- Employers may wish to contact the SSC for advice and guidance on recruitment and selection.

<table>
<thead>
<tr>
<th>Initial assessment will identify the needs and potential of Modern Apprentices upon which the MA Training Plan will be based. However, employers have agreed that the following should be used as a guide when recruiting modern apprentices.</th>
</tr>
</thead>
<tbody>
<tr>
<td>It is recommended for SCQF Level 6 and 8 apprenticeships, who have not achieved a Level 5 MA in Accounting that they will require a Higher Grade Accountancy A-C or 2 Highers in academic subjects A-C. They would also require good communication skills and vocational experience (our research has indicated that 16 year olds without this experience are likely to experience difficulties in completing the framework if they enter the programme at SCQF level 6 or 8).</td>
</tr>
</tbody>
</table>

Skills and attributes required of applicants are:

- Attention to detail.
- Appreciation of the importance of confidentiality in relation to accounting work.
- Motivation to succeed within the Industry/Sector.
- Willingness to learn and apply that learning in the workplace.
- Ability to demonstrate that they have the potential to complete the qualifications which are part of the Modern Apprenticeship.
- Willingness to communicate effectively with a range of people.
- Being numerate and literate
Equal opportunities

Technical and Professional Apprenticeships should ensure that there is equality of opportunity for all and any barriers (real or perceived) are addressed to support anyone seeking to enter employment to undertake the Technical and Professional Apprenticeship.

All Modern, Technical or Professional Apprentices supported by Skills Development Scotland must conform to any contractual requirements on equal opportunities. All employers of Modern, Technical or Professional Apprentices should have an Equal Opportunities policy statement.

Health and Safety

All aspects of health and safety at work must be recognised within the delivery of this Technical and Professional Apprenticeship Framework and all statutory requirements be adhered to.

It is a key aspect of the induction period of the Technical and Professional Apprenticeship that apprentices are fully informed both of the regulations and that they and their employers are bound by these regulations. Technical and Professional Apprentices should be made aware of their rights and duties with regard to health and safety.

All Technical and Professional Apprentices supported by Skills Development Scotland will be required to satisfy the adequacy of SDS’s Health and Safety policy and systems.

Contracts

The following three contracts are essential to the successful outcome of the Technical and Professional Apprenticeship programme:

1. Contract of employment signed by the employer and the Technical/ Professional Apprentice.
2. SSC Training Agreement - this agreement outlines the basis of the Technical and Professional Apprenticeship, refers to the contract of employment and includes Health and Safety responsibilities. A sample SSC Training Agreement is set out in Appendix 3.
3. SSC Training Plan - this plan outlines the selected outcomes and the expected duration of the apprenticeship. In cases where funding is offered by a SDS area office, the SDS Training Plan will be sufficient on condition that it contains all relevant information as set out in the Training Plan at Appendix 3. Training Plans may be modified to reflect changing circumstances, however it is essential that the SSC is notified of any changes.

Employment status of Technical and Professional Apprentices

It is important that the sector offers genuine employment and career prospects to those people it wishes to attract through Technical and Professional Apprenticeships. Accordingly, all apprentices must be employed.

All Technical and Professional Apprentices must have a demonstrable need to acquire significant new knowledge and skills to fulfill their job role. The Apprenticeship framework selected for the employee must be the most appropriate learning programme generally available to that individual, providing such knowledge and skills.

Terms and conditions of employment

In order to compete with other sectors offering Technical and Professional Apprenticeships, attractive packages will need to be developed by employers in the sector. The terms and conditions of employment for individual Technical and Professional Apprentices will be agreed between the employer and the apprentice and should form the contract of employment.
Training and development

Delivery

Training delivery can take many forms under the Technical and Professional Apprenticeship system. Some organisations may become approved Assessment Centres; others may join a consortium or use peripatetic assessors. Some large employers will be able to complete all the training and development in-house, but most employers will find that some of the training and development will have to take place away from the normal workplace. In particular the underpinning knowledge requirements are often more suited to delivery by outside training providers which might include:

- private training organisations
- colleges / universities
- other employers

Such knowledge could be delivered through training courses or through open/distance learning packages.

The option of sharing training and assessment resources amongst a cluster of employers (or across the divisions of a larger employer) will be particularly appealing to those firms which do not have the resources to provide all of the training and development. Assessment can be provided by these bodies, but the assessors and the training centre must be approved by the awarding bodies for the SVQ and Career Skills where appropriate.

The following list of training providers are approved to deliver this Framework:

- Dundee and Angus College
- BPP Professional Apprenticeships
- Glasgow Clyde College
- Learn Direct
- Training Direct Ltd
- Microcom Training
- University of Highlands and Islands
- Shetland Islands Council
- Kaplan Financial Limited
## Delivery of Training for the Technical Apprenticeship in Accounting

### Work-based training

#### Delivery and assessment method

The MA in Accounting is equally valued by accountancy practices and non-accountancy organisations with an in-house finance department (no matter how large or small).

Employers pride themselves in developing their MAs technically to meet the organisations particular needs both in relation to the technical aspect of the role and the people practices side of the role. This training may be formal or informal.

Depending on a candidate’s educational background, they may need to acquire additional core skills to meet the requirement of the Framework. This would be a further element of work based training but one that would require a high degree of formality to meet SQA standards. This requires the candidate to be assessed by SQA qualified assessors who may be internal to the organisation but for accountancy such assessors are more likely to be external (from colleges or private training providers).

### Skills required by training providers delivering the training

Relevant accountancy experience and ideally an accounting qualification. An assessor qualification is mandatory for core skills assessment and a teaching qualification would also be beneficial.

### Delivery of underpinning knowledge (if no formal off-the-job requirement)

This would be delivered through the work based part of the qualification. A skills matrix could be created to confirm what tasks the students have completed in the workplace and their level of competence on these. This would help contextualise the formally assessed part of the qualification and also enhance the candidate’s CV.

### Off-the-job training

#### Details of off-the-job training (please state if not applicable)

The off the job training is the technical part of the qualification. This element is delivered via classroom or on-line tuition depending on the training organisation and the needs of the learner.

#### Delivery and assessment method

Assessment would primarily be via computer based assessment. In certain circumstances, there may be an option for core skill to be delivered in a classroom environment. Where this is the case, assessment must be conducted via a SQA qualified assessors.

#### Exemptions

- Are any groups exempt from completing the off-the-job component? (e.g. older workforce with the required knowledge as demonstrated through RPL/ APL)
The SSC training plan

The plan is required to identify:

1. The selected Framework outcomes
2. The selected Career Skills units
3. A summary of the Technical or Professional Apprentices’ accredited prior learning
4. A timetable for achievement of the selected Framework outcomes, linked to regular progress reviews.

The Training Plan should take into account any relevant previous training and development, education or work experience. Not all Technical and Professional Apprentices need have different plans, but many will vary. Moreover as reviews take place and circumstances change so the plan itself can be modified.

However any changes must:

- be subject to the quality provisions of Skills Development Scotland (if the apprenticeship is being financially supported)
- comply with the stipulations of this Framework
- meet the needs of the employer and apprentice.

A sample Training Plan is provided at Appendix 3 of this document, however, for those Technical and Professional Apprentices funded by SDS it is sufficient to submit the SDS Training Plan on condition that it covers the same information required in the Training Plan.
Consultation Process

An online consultation was sent out to a variety of Financial Services sector organisations including those organisations who have learners registered on the Accounting frameworks at the moment or in the past.

The online consultation has been sent to banks, training providers, smaller financial services institutions and Colleges. This consisted of up to 50 employers. There was a very low response rate to the consultation and from these 50 employers only 7 responded. The people who responded were from some of the main organisations who currently deliver the framework to candidates.

An update was made to the framework last year so this may be the reason behind the low response rate. Ample opportunity was given to employers to allow as much time as possible for people to respond and follow up and reminder emails were sent. Listed below are the organisations who responded:

- ACCA x 2
- BPP Professional
- Kaplan
- SQA Awarding Body
- AAT
- Edinburgh College

From the responses, employers explained that they are happy with the qualifications associated with the framework and see no gaps in current provision. Respondents saw no need to add in any further learning or qualifications as either mandatory or optional enhancements to the frameworks.

From the employers who responded to the consultation, they emphasised the importance of keeping the SCQF levels 5-8 frameworks and showed their ongoing support behind utilising it. Keeping these frameworks will allow individuals to progress their careers in Accountancy and allow progression and the opportunity to qualify and practise in the Scottish economy.
Career progression

Following the completion of the Technical and Professional Apprenticeship, candidates should be able to achieve positions in areas such as:

The information below details how candidates can progress on completion of each of the Modern Apprentice Accounting frameworks.

- Progression to a SCQF Level 6 Modern Apprenticeship in Accounting where SCQF Level 5 Modern Apprentices show the potential.
- Progression to a SCQF Level 8 Modern Apprenticeship in Accounting where SCQF Level 6 Modern Apprentices show the potential.
- The SCQF Level 8 Modern Apprenticeship in Accounting provides exemption from the foundation stages of the following Chartered Accountancy qualifications:
  - Institute of Chartered Accountants in Scotland (ICAS);
  - Institute of Chartered Accountants in England & Wales (ICAEW);
  - Association of Certified Chartered Accountants (ACCA);
  - Chartered Institute of Management Accountants (CIMA);
  - Chartered Institute of Public Finance and Accountancy (CIPFA).

And AAT Level 4 students may gain entry directly into stage 2 of the Robert Gordon University – Aberdeen - BA Accounting with CIMA Advanced Diploma programme depending on modules studied.

SCQF Level 5 Modern Apprenticeship occupations are likely to include:

- accounts assistant 
- accounts clerk 
- cashier 
- credit control clerk 
- data input clerk 
- finance assistant 
- purchase ledger clerk 
- sales ledger clerk

SCQF Level 6 and 8 Modern Apprenticeship occupations are likely to include:

- Accounts assistant 
- Assistant management accountant 
- Semi Senior (accounting practice) 
- Finance Assistant 
- Junior accounts 
- Trainee Accountant 
- Technician
Appendices

APPENDIX 1

Stakeholder Responsibilities

Many organisations and individuals share the responsibility for ensuring that the Modern, Technical and Professional Apprenticeship programme is implemented to the highest possible standard. They include:

- Awarding Bodies
- Employers
- Modern/Technical/Professional Apprentices
- Modern Apprenticeship Group (MAG)
- Sector Skills Councils (SSCs)
- Skills Development Scotland
- Training Providers

Role of the Sector Skills Councils

SSCs are responsible for developing Modern, Technical and Professional Apprenticeship Frameworks and are required to work with employers in their sectors to ensure that all Frameworks meet the needs of employers in their sectors.

Details of your SSC can be found on the FISSS website http://fisss.org/sector-skills-council-body/directory-of-sscs/

Role of Skills Development Scotland (SDS)

Modern, Technical and Professional Apprenticeship frameworks are used by employers as part of their workforce development to train new employees and up-skill existing members of staff. They can be (and often are) used regardless of whether financial support is available from the delivery body who currently provides a ‘contribution’ towards the cost of delivery. However, only approved Modern, Technical and Professional Apprenticeship Frameworks will be eligible for funding support from SDS who should be contacted to establish the availability and level of support for each Framework.

Skills Development Scotland provides advice and guidance to individuals on the range of Modern, Technical and Professional Apprenticeships and training providers available. Individuals are signposted to opportunity providers who offer training in the vocational areas of interest.

Responsibilities include:

- Supporting the Apprentice with ongoing Career Planning advice
- Signposting candidates to suitable vacancies
- Promoting Modern, Technical and Professional Apprenticeship routes on the Skills Development Scotland website

Further information is available from: http://www.skillsdevelopmentscotland.co.uk/our-services/modern-apprenticeships.aspx

Role of the Awarding Bodies

A significant proportion of the Technical and Professional Apprenticeship is based on the assessment of the apprentice against SVQs/ CBQs/ HN Units or SVQ units. These qualifications are accredited by the SQA Accreditation and the Office of the Qualifications and Examinations Regulator (Ofqual) and are offered by Awarding Bodies.

It is the responsibility of the Awarding Bodies to ensure that centres are approved, that assessors and verifiers are suitably qualified, trained and monitored, and that all of the assessment criteria of qualifications and qualification units are fully met.
Role of the Training Provider

The role of the training provider is important to the success of the Modern, Technical or Professional Apprenticeship. A training provider can be a further education college, a private or voluntary training company or in some cases the employer themselves or employer partnerships.

Training Providers are responsible for:

- Confirming an appropriate Modern, Technical or Professional Apprenticeship programme for candidates
- Agreeing the training needs of the candidates
- Agreeing roles and responsibilities for on the job training
- Agreeing where off the job training will be required and defining roles and responsibilities for this with relevant parties
- Ensuring trainee/candidate has access to the best quality training opportunities available
- Ensuring that the Modern, Technical or Professional Apprentices and employers fully understand the principles and processes of competence-based assessment
- Registering of Apprenticeship candidates with the relevant SSC (and Skills Development Scotland if appropriate).
- Compiling and agreeing assessment schedules/assessment plans
- Judging performance evidence
- Completing assessment records
- Reviewing candidates progress at regular intervals
- Submitting records and evidence for moderation
- Advising the Modern, Technical or Professional Apprentice who to approach for support, advice, encouragement and in case of complaint

Role of the Modern Apprenticeship Group (MAG)

MAG is an independent group drawn from key stakeholders involved in the management and delivery of the Apprenticeship programme in Scotland.

MAG is responsible for:

- Approval and re-approval of Modern, Technical and Professional Apprenticeship Frameworks
- De-approval of Modern, Technical and Professional Apprenticeship Frameworks
- Encouraging best practice across Modern, Technical and Professional Apprenticeship Frameworks and sectors

Role of the Employer

Employers’ responsibilities include:

- Paying all Modern, Technical or Professional Apprentices in accordance with company policy and in line with current legislation
- Agreeing roles and responsibilities for on the job training
- Agreeing where off the job training will be required and define roles and responsibilities for this with relevant parties
- Highlighting opportunities for the Modern, Technical or Professional Apprentice to demonstrate competence
- Meeting with Trainers, Assessors, Verifiers and the Modern, Technical or Professional Apprentices to review progress
- Witnessing candidate performance and verifying evidence
- Releasing Modern, Technical or Professional Apprentices for college/off-the-job training in line with training plan
- Ensuring the experience, facilities and training necessary to achieve the outcomes of the training plan.
- Supporting and encouraging Professional Apprentices and rewarding achievement
- Taking responsibility for the Health & Safety of Modern, Technical or Professional Apprentices.
Role of the Modern, Technical or Professional Apprentice

Modern, Technical or Professional Apprentices have the same responsibilities to their employer as any other employee. In addition they have a range of commitments to their training programme.

Modern, Technical or Professional Apprentices’ responsibilities include:

- Observing the company’s terms and conditions of employment
- Agreeing a training/development plan with all parties involved
- Undertaking development in line with agreed training plan
- Attending meetings with trainers, assessors and verifiers as required
- Attending college/off-the-job training where required
- Providing evidence of competence
- Developing a collection of evidence (portfolio) and retain ownership of this throughout
- Behaving in a professional manner throughout
APPENDIX 2

Modern Apprenticeship Centres (MACs)

Modern, Technical and Professional Apprentices may only be registered through organisations approved by the SSC to deliver this Framework. Such approved organisations are called Modern Apprenticeship Centres (MACs).

The MAC may be the employer of the apprentice or a separate organisation such as a training provider, further education college, a private or voluntary training company or in some cases the employer themselves or employer partnerships.

In order to be approved, organisations must make a formal application to the SSC, seeking approval and establishing that the centre satisfies the following criteria:

Either

1 be approved by an appropriate Awarding Body as a centre for the assessment of the relevant qualification and Career Skills Units

or

2 be capable of demonstrating a contractual relationship with another approved centre for the assessment of those units for which the MAC does not have approval from an appropriate Awarding Body.

In addition

The SSC will maintain a database of MACs for the delivery of the Framework within Scotland, which will be available to employers and others.

Organisations wishing to become MACs who have yet to obtain the necessary Awarding Body approval for assessment should first contact the Awarding Body direct.

Organisations wishing to be accredited with SQMS (or other appropriate quality system) should contact Skills Development Scotland.

In addition to the assessment of the Modern, Technical or Professional Apprentice against the relevant standards set by the selected Framework outcomes, the MAC has responsibility for:

- Entering into a formal training agreement with the employer and Apprentice
- Registering Modern, Technical and Professional Apprentices as candidates for the relevant qualification and other selected units with the appropriate Awarding Body
- Registering Modern, Technical and Professional Apprentices with the SSC
- Applying for the final ‘Certificate of Completion’ on behalf of Modern, Technical and Professional Apprentices
- Informing the SSC of any material alterations to Modern, Technical and Professional Apprentices’ training plans or desired changes to the selected Framework outcomes.
APPENDIX 3: TECHNICAL/ PROFESSIONAL SAMPLE TRAINING AGREEMENT

This Training Agreement is entered into by:

<table>
<thead>
<tr>
<th>Name of Employer:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Technical/ Professional Apprentice:</td>
<td></td>
</tr>
<tr>
<td>Name of Modern Apprenticeship Centre:</td>
<td></td>
</tr>
</tbody>
</table>

The **Employer’s responsibilities** are to:

1. employ the apprentice subject to the employer’s usual terms and conditions of employment;
2. provide the apprentice with the facilities, training and work place opportunities necessary to achieve the selected Framework outcomes specified in the apprentice’s personal training plan;
3. pay the apprentice an agreed salary which meets National Minimum Wage criteria, reflects the obligations of the employer and the opportunities for the apprentice;
4. in the event of the employer becoming unable to retain the apprentice after completion of the apprenticeship, to use reasonable endeavours to secure employment elsewhere;
5. in the event of the apprenticeship being terminated prematurely by either the employer or apprentice for any reason other than dismissal for unsatisfactory performance or misconduct, to use reasonable endeavours to secure employment and continuation of this apprenticeship elsewhere;
6. operate a formal Health and Safety policy and undertake the necessary legal and contractual responsibilities for health and safety of the apprentice; and
7. operate an Equal Opportunities policy which meets all legal requirements.

The **Technical/ Professional Apprentice’s responsibilities** are to:

1. work for the employer in accordance with the agreed terms and conditions of employment;
2. undertake training, attend courses if required, keep records, and take assessments to be determined by the employer and/or Modern Apprenticeship Centre, and carry out such work as may be required in order to achieve the selected Framework outcomes specified in the apprentice’s personal training plan;
3. be diligent, punctual, behave in a responsible manner and in accordance with the requirements of Health and Safety legislation relating to the apprentice’s responsibilities as an individual; and
4. promote at all times the employer’s best interests.

The **Modern Apprenticeship Centre’s responsibilities** are to:

1. agree the content of the apprentice’s personal training plan as confirming that the selected Framework outcomes and training plans meet the criteria of this apprenticeship
2. contract with the employer to provide the training and assessment necessary to enable the apprentice to achieve the selected Framework outcomes specified in the apprentice’s personal training plan; and
3. use its best endeavours to ensure that the employer provides the apprentice with the facilities, training and work place opportunities necessary to achieve the selected Framework outcomes specified in the apprentice’s personal training plan.

This agreement to be signed by all parties:

<table>
<thead>
<tr>
<th>Employer</th>
<th>Date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Technical/ Professional Apprentice</td>
<td>Date:</td>
</tr>
<tr>
<td>Modern Apprenticeship Centre</td>
<td>Date:</td>
</tr>
</tbody>
</table>
## TECHNICAL/ PROFESSIONAL APPRENTICESHIP TRAINING PLAN

### The Modern Apprenticeship Centre

<table>
<thead>
<tr>
<th>Name:</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Address:</td>
<td></td>
</tr>
<tr>
<td>Telephone:</td>
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<tr>
<td>Contact:</td>
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</table>

### The Technical or Professional Apprentice

<table>
<thead>
<tr>
<th>Full name:</th>
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</thead>
<tbody>
<tr>
<td>Home address:</td>
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</tr>
<tr>
<td>Work address:</td>
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</tr>
<tr>
<td>Date of birth:</td>
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</table>

### The Employer

<table>
<thead>
<tr>
<th>Name:</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Address:</td>
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<td>Telephone:</td>
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<td>Contact:</td>
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</table>

### Skills Development Scotland office

<table>
<thead>
<tr>
<th>Name:</th>
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</thead>
<tbody>
<tr>
<td>Address:</td>
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<tr>
<td>Telephone:</td>
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<tr>
<td>Contact:</td>
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</tbody>
</table>
### Framework selected outcomes

#### Mandatory outcomes

<table>
<thead>
<tr>
<th>Qualification Level (please identify level)</th>
<th>Tick units being undertaken</th>
<th>SCQF Level</th>
<th>SCQF Credit Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>(List mandatory and optional units)</td>
<td></td>
<td></td>
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<tr>
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</table>

<table>
<thead>
<tr>
<th>Qualification level (please identify level)</th>
<th>Tick units being undertaken</th>
<th>SCQF Level</th>
<th>SCQF Credit Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>(List mandatory and optional units)</td>
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</tbody>
</table>

#### Enhancements

<table>
<thead>
<tr>
<th></th>
<th>Tick units being undertaken</th>
<th>SCQF Level</th>
<th>SCQF Credit Points</th>
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</thead>
<tbody>
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#### Career Skills

(Include details of the minimum level required)

<table>
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<tr>
<th>Career Skills</th>
<th>Tick units being undertaken</th>
<th>SCQF Level</th>
<th>SCQF Credit Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>(full name and code)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>(full name and code)</td>
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<tr>
<td>3</td>
<td>(full name and code)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>(full name and code)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>(full name and code)</td>
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<td></td>
</tr>
</tbody>
</table>

#### Optional outcomes

<table>
<thead>
<tr>
<th>Additional units (if any)</th>
<th>Tick units being undertaken</th>
<th>SCQF Level</th>
<th>SCQF Credit Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>(specify unit)</td>
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<tr>
<td>(specify unit)</td>
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</tr>
<tr>
<td>(specify unit)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Summary of Technical/ Professional Apprentice’s accredited prior learning:

If you require assistance in completing this form, please contact:

Skills for Justice
1st Floor, Unit C Meadow Court Business Park,
4 Hayland Street, Sheffield, S9 1BY.
Tel.: 0114 284 1930
Info.SFJ@skillsforhealth.org.uk
APPENDIX 4: Career Skills Units for Technical and Professional Apprenticeships

Technical Apprentices should complete Career Skills units at SCQF Level 7 or above and achieve a minimum of 15 Credits in total. Professional Apprenticeships should complete Career Skills units at SCQF Level 8 or above and achieve a minimum of 20 Credits in total.

The Career Skills units selected must not duplicate any of the units undertaken as part of the mandatory qualification.

<table>
<thead>
<tr>
<th>Business Administration</th>
<th>Credits</th>
<th>Unit Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Either Implement, monitor and review change</td>
<td>9</td>
<td>CFABAA116</td>
</tr>
<tr>
<td>Or Implement Change (Management Unit)</td>
<td>(see below)</td>
<td></td>
</tr>
<tr>
<td>Either Plan change across teams</td>
<td>9</td>
<td>CFABAA115</td>
</tr>
<tr>
<td>Or Plan change (Management Unit)</td>
<td>(see below)</td>
<td></td>
</tr>
<tr>
<td>Chair meetings</td>
<td>8</td>
<td>CFABAA413</td>
</tr>
<tr>
<td>Evaluate and solve business problems</td>
<td>8</td>
<td>CFABAG128</td>
</tr>
<tr>
<td>Implement and evaluate innovation in a business environment</td>
<td>8</td>
<td>CFABAA113</td>
</tr>
<tr>
<td>Manage and evaluate information systems</td>
<td>8</td>
<td>CFABAD122</td>
</tr>
<tr>
<td>Either Monitor and evaluate contracts</td>
<td>8</td>
<td>CFABAF121</td>
</tr>
<tr>
<td>Or Monitor and evaluate the performance of contractors</td>
<td>8</td>
<td>CFABAF121</td>
</tr>
<tr>
<td>Negotiate in a business environment</td>
<td>8</td>
<td>CFABAG123</td>
</tr>
<tr>
<td>Either Plan, run and evaluate projects</td>
<td>8</td>
<td>CFABAA152</td>
</tr>
<tr>
<td>Or Manage projects (Management Unit)</td>
<td>(see below)</td>
<td></td>
</tr>
<tr>
<td>Prepare, co-ordinate and monitor operational plans</td>
<td>8</td>
<td>CFABAA1110</td>
</tr>
<tr>
<td>Manage an office facility</td>
<td>7</td>
<td>CFABAA118</td>
</tr>
<tr>
<td>Either Manage budgets</td>
<td>7</td>
<td>CFABAA532</td>
</tr>
<tr>
<td>Or Manage budgets (Management Unit)</td>
<td>(see below)</td>
<td></td>
</tr>
<tr>
<td>Manage communications in a business environment</td>
<td>7</td>
<td>CFABAA616</td>
</tr>
<tr>
<td>Supervise a team in a business environment</td>
<td>7</td>
<td>CFABAG1212</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Management</th>
<th>Credits</th>
<th>Unit Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Either Build and sustain collaborative relationships with other organisations</td>
<td>11</td>
<td>CFAMLD17</td>
</tr>
<tr>
<td>Or Develop and sustain collaborative relationships with other organisations</td>
<td>11</td>
<td>CFAM&amp;LDD4</td>
</tr>
<tr>
<td>Either Develop a strategic business plan for your organisation</td>
<td>11</td>
<td>CFAMLB3</td>
</tr>
<tr>
<td>Or Develop strategic business plans</td>
<td>11</td>
<td>CFAM&amp;LBA6</td>
</tr>
<tr>
<td>Either Ensure an effective organisational approach to health and safety</td>
<td>11</td>
<td>CFAMLE7</td>
</tr>
<tr>
<td>Or Provide healthy, safe, secure and productive working environments and practices</td>
<td>7</td>
<td>CFAM&amp;LEB1</td>
</tr>
<tr>
<td>Either Improve organisational performance</td>
<td>11</td>
<td>CFAMLF12</td>
</tr>
<tr>
<td>Or Manage continuous improvement</td>
<td>11</td>
<td>CFAM&amp;LFE5</td>
</tr>
<tr>
<td>Either Manage risk</td>
<td>11</td>
<td>CFAMLB10</td>
</tr>
<tr>
<td>Or Manage risks to your organisation</td>
<td>11</td>
<td>CFAM&amp;LBLB1</td>
</tr>
<tr>
<td>Either Promote equality of opportunity, diversity and inclusion in your organisation</td>
<td>11</td>
<td>CFAMLB12</td>
</tr>
<tr>
<td>Or Promote equality of opportunity, diversity and inclusion</td>
<td>8</td>
<td>CFAM&amp;LBA7</td>
</tr>
<tr>
<td>Either Promote knowledge management in your organisation</td>
<td>11</td>
<td>CFAMLE13</td>
</tr>
<tr>
<td>Or Promote knowledge management and sharing</td>
<td>11</td>
<td>CFAM&amp;LEC1</td>
</tr>
<tr>
<td>Either Promote the use of technology within your organisation</td>
<td>11</td>
<td>CFAMLE4</td>
</tr>
</tbody>
</table>
Or Optimise effective use of technology 11 12 CFAML&LFB5

Either Provide leadership for your organisation 11 13 CFAMLB7
Or Lead your organisation 11 13 CFAML&LBA1

Either Manage the development and marketing of products/services in your area of responsibility 10 9 CFAML&LFB16
Or Manage the marketing of products and services 11 6 CFAML&LFB5

Put the strategic business plan into action 10 9 CFAMLB4

Either Develop and implement marketing plans for your area of responsibility 9 5 CFAMLF4
Or Develop marketing plans 9 5 CFAM&LFB2
And/Or Implement marketing plans 9 5 CFAM&LFB3

Encourage innovation in your area of responsibility 9 12 CFAMLC2

Lead change 9 15 CFAMLC4

Manage business processes 9 15 CFAMLF3

Either Manage knowledge in your area of responsibility 9 4 CFAMLE12
Or Develop knowledge and make it available 9 4 CFAM&LEC3

Plan change 9 15 CFAM&LCA2

Either Recruit, select and keep colleagues 9 12 CFAMLD3
Or Recruit, select and retain people 9 14 CFAM&LDA2

Either Develop and implement operational plans for your area of responsibility 8 11 CFAMLB1
Or Develop operational plans 8 11 CFAM&LBA9

Manage projects 8 11 CFAM&LFA5

Implement change 8 11 CFAMLC6

Either Manage finance for your area of responsibility 8 14 CFAMLE2
Or Manage the use of financial resources 8 14 CFAM&LEA3

Either Manage physical resources 8 9 CFAMLE8
Or Manage physical resources 8 5 CFAM&LFB3

Either Manage the environmental impact of your work 8 4 CFAMLE9
Or Manage the environmental and social impacts of your work 8 4 CFAM&LFB4

Promote equality of opportunity, diversity and inclusion in your area of responsibility 8 10 CFAMLB11

Provide leadership in your area of responsibility 8 9 CFAMLB6

Either Allocate and monitor the progress and quality of work in your area of responsibility 7 14 CFAMLD6
Or Manage people’s performance at work 7 14 CFAM&LDB4

Either Build and manage teams 7 8 CFAMLD9
Or Build Teams 9 8 CFAM&LDB1

Communicate information and knowledge 7 3 CFAMLE11

Ensure health and safety requirements are met in your area of responsibility 7 11 CFAMLE6

Manage budgets 7 11 CFAM&LEA4

Either Manage your own resources and professional development 7 8 CFAMLA2
Or Develop your knowledge, skills and competence 7 6 CFAM&LAA2

Either Provide leadership for your team 7 9 CFAMLB5
Or Lead your team 7 9 CFAM&LBA3

(Business Continuity Management)

Develop a Business Continuity Management (BCM) strategy 10 10 CFABCM201

Design Business Continuity Management (BCM) procedures 8 8 CFABCM101
<table>
<thead>
<tr>
<th>Manage incident response teams</th>
<th>8</th>
<th>5</th>
<th>CFABCM301</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assist in the design of Business Continuity Management (BCM) procedures</td>
<td>7</td>
<td>4</td>
<td>CFABCM102</td>
</tr>
<tr>
<td>Assist with the development of an organisational Business Continuity Management (BCM) strategy</td>
<td>7</td>
<td>5</td>
<td>CFABCM202</td>
</tr>
<tr>
<td>Lead a response team</td>
<td>7</td>
<td>4</td>
<td>CFABCM302</td>
</tr>
<tr>
<td>Operate incident response procedures</td>
<td>7</td>
<td>4</td>
<td>CFABCM303</td>
</tr>
<tr>
<td>Communicating during an incident</td>
<td>7</td>
<td>5</td>
<td>CFABCM401</td>
</tr>
<tr>
<td>(Governance)</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Define the responsibilities, powers and tasks of the governing body</td>
<td>12</td>
<td>13</td>
<td>CFA 501</td>
</tr>
<tr>
<td>Define the organisation’s strategy and structure</td>
<td>12</td>
<td>13</td>
<td>CFA 502</td>
</tr>
<tr>
<td>Determine the organisation’s purpose, vision, values and ethical behaviour</td>
<td>12</td>
<td>13</td>
<td>CFA 503</td>
</tr>
<tr>
<td>Ensure effective functioning and performance of the governing body</td>
<td>12</td>
<td>14</td>
<td>CFA 504</td>
</tr>
<tr>
<td>Ensure effective governing body decision making and delegation</td>
<td>12</td>
<td>14</td>
<td>CFA 505</td>
</tr>
<tr>
<td>Evaluate organisational and managerial performance to ensure effective compliance and control systems</td>
<td>12</td>
<td>15</td>
<td>CFA 506</td>
</tr>
<tr>
<td>Exercise accountability and engage effectively with key stakeholders</td>
<td>12</td>
<td>12</td>
<td>CFA 507</td>
</tr>
</tbody>
</table>

**Customer Service**

| Apply technology or other resources to improve customer service | 8 | 11 | CFACSD18 |
| Build and maintain effective customer relations            | 8 | 8  | CFACSB15 |
| Champion customer service                                  | 8 | 10 | CFACSA17 |
| Develop a customer service strategy for a part of an organisation | 8 | 11 | CFACSD16 |
| Follow organisational rules, legislation and external regulations when managing customer service | 8 | 10 | CFACSF6 |
| Plan and organise the development of customer service staff | 8 | 9  | CFACSD15 |
| Plan, organise and control customer service operations     | 8 | 10 | CFACSB13 |
| Review the quality of customer service                     | 8 | 8  | CFACSB14 |
| Apply risk assessment to customer service                  | 7 | 10 | CFACSC6 |
| Either Build a customer service knowledge set              | 7 | 7  | CFACSA16 |
| Or Build a customer service knowledge base                 | 7 | 7  | CFACSA16 |
| Either Demonstrate understanding of customer service        | 7 | 6  | CFACSF3 |
| Or Show understanding of customer service                  | 7 | 6  | CFACSF3 |
| Gather, analyse and interpret customer feedback            | 7 | 10 | CFACSD12 |
| Manage customer service performance                        | 7 | 7  | CFACSD20 |
| Promote continuous improvement                             | 7 | 7  | CFACSD9  |
| Use customer service as a competitive tool                 | 7 | 8  | CFACSA14 |

**Enterprise**

<p>| Evaluate an existing business opportunity                  | 9 | 6  | CFABD9 |
| Get support for a creative idea                            | 9 | 9  | CFABD10 |
| Explore overseas markets                                   | 9 | 14 | CFAWB6 |
| Carry out a review of the business                          | 8 | 8  | CFABD4 |
| Make deals to take your business forward                    | 8 | 6  | CFAEE3 |
| Find innovative ways to improve your business              | 8 | 8  | CFAEE4 |
| Plan how to let your customers know about your products and services | 8 | 8 | CFAWB2 |
| Advertise your products and services                       | 8 | 5  | CFAWB4 |
| Sell your products or services                             | 8 | 7  | CFAWB5 |</p>
<table>
<thead>
<tr>
<th>Task</th>
<th>Grade</th>
<th>E3</th>
<th>Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bid for work</td>
<td>8</td>
<td>5</td>
<td>CFAWB9</td>
</tr>
<tr>
<td>Win and keep customers</td>
<td>7</td>
<td>7</td>
<td>CFAEE2</td>
</tr>
<tr>
<td>Manage cash flow</td>
<td>7</td>
<td>3</td>
<td>CFAMN4</td>
</tr>
<tr>
<td>Review the skills the business needs</td>
<td>7</td>
<td>4</td>
<td>CFAOP1</td>
</tr>
<tr>
<td>Check what your customers need from the business</td>
<td>7</td>
<td>5</td>
<td>CFAWB1</td>
</tr>
<tr>
<td>Plan how you will sell your products or services</td>
<td>7</td>
<td>4</td>
<td>CFAWB3</td>
</tr>
<tr>
<td>Make presentations about your business</td>
<td>7</td>
<td>4</td>
<td>CFAWB10</td>
</tr>
<tr>
<td>Delegate work to others</td>
<td>7</td>
<td>4</td>
<td>CFAYS6</td>
</tr>
</tbody>
</table>

- **Note 1:** Either/Or choice indicates that a unit has been revised.
- **Note 2:** Not all units are accredited. SSCs should consult Awarding Bodies for availability.